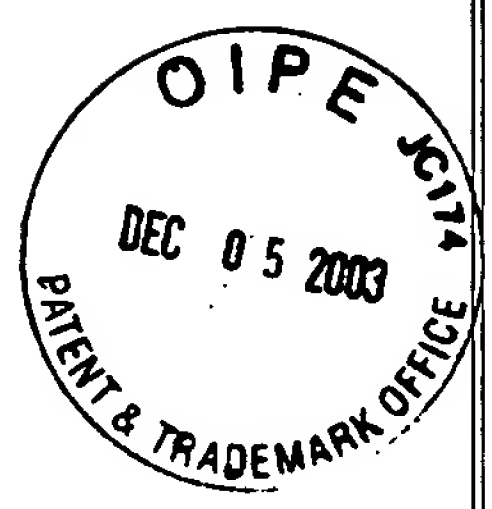


1642  
Image



PATENT  
Customer Number: 22,852  
Attorney Docket No. 06843.0028-02000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Tsutomu ARAKAWA et al. ) Group Art Unit: 1642  
)  
Application No.: 09/994,068 ) Examiner: Not Yet Assigned  
)  
Filed: November 27, 2001 )  
)  
For: ANTIBODY-INDUCED )  
APOPTOSIS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

The document listed on the attached Form PTO 1449 was cited in a communication in a counterpart European patent application, and this Information Disclosure Statement is being filed within thirty days of the date printed on that communication.

Copies of the listed documents are enclosed.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER <sup>LLP</sup>  
  
1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

The enclosed European Search Report (ESR) lists an abstract from a Japanese Patent application. Applicants enclose U.S. Patent No. 5,869,268, which, according to the ESR, issued from a counterpart application in the United States.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

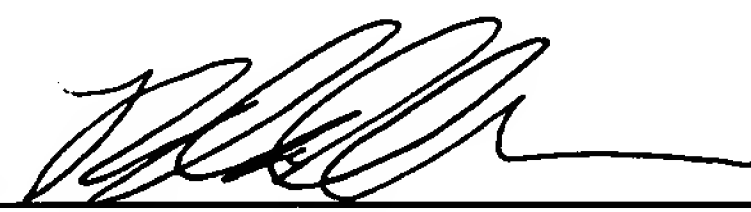
Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 4, 2003

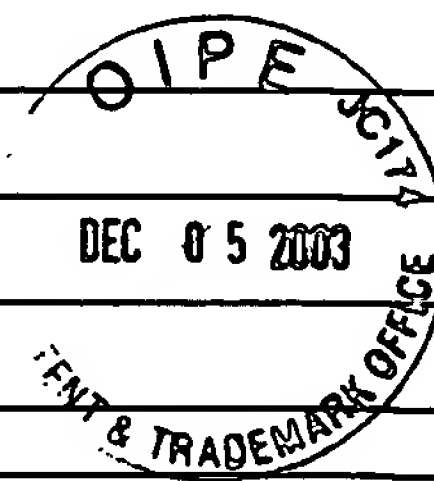
By:   
Rebecca B. Scarr  
Reg. No. 47,057

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	6843.0028-02000	Appln. No.	09/994,068
Applicant	Tsutomu ARAKAWA et al.		DEC 05 2003
Filing Date	November 27, 2001	Group:	1642



U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
		5,869,268	02/09/1999	Kudo et al.			

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or N
		JP 5317084	12/03/1993	Japan			Abstract only

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	European Search Report, for counterpart European Application No. 03 01 8949, dated November 10, 2003.

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce